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Division of Success Trade Securities, Member FINRA | SIPC

Account Number _____

Certificate of Sole Proprietorship

I, _____, hereby certify that I am engaged in business under
Proprietor – First, Middle Initial, Last

the assumed name and address:

Entity Name

Tax Identification Number

Address 1

Address 2

City State ZIP

I am the sole proprietor of the business so conducted, and no other person, partnership or corporation has any ownership interest therein. I own all securities, assets and other property in the aforementioned entity name.

I hereby agree to hold harmless and indemnify Legent Clearing, its officers, employees, servants, agents and assigns from and against any and all claims, demands, costs, losses, damages, actions, suits, and legal proceedings however caused, against them arising out of the actions incurred by complying with my instructions.

Signed,

Sole Proprietor's Signature	Sole Proprietor's Name	Date
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