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Division of Success Trade Securities, Member FINRA | SIPC

Account Number _____

Certificate of Sole Officer

I, _____, hereby certify that I am the sole officer
Name of Sole Officer – First, Middle Initial, Last
of _____, _____,
Name of entity Tax Identification Number of entity
a corporation duly organized and existing under the laws of the State of _____, the laws of the State
State
of _____ expressly permit the same person to hold the offices of both president and secretary of a
State
Corporation, and that I presently hold such offices of the corporation named above.

IN WITNESS WHEREOF, I have executed this certificate this _____ day of _____, _____, understanding
Day Month Year
that Legent Clearing will rely upon this certificate in accepting documents executed by me in such dual capacities.

Sole Officer's Signature	Sole Officer's Name	Date
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